

FORMALITIES LETTER



OC00000005305326

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231Sector
\$3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/595,216	06/16/2000	Thomas E. Ward III	39674/JEC/1148

Christie Parker & Hale LLP
P O Box 7068
Pasadena, CA 91109-7068

Date Mailed: 08/08/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/08/2000 AMNDRAF1 00000057 09595216

01 FC:105

130.00 OP



SECTOR \$ #3

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on September 1, 2000.

Signature

Applicant : Thomas E Ward III, et al.
Application No. : 09/595,216
Filed : June 16, 2000
Title : Systems and Method for Displaying and Recording Control Interface with Television Programs Video Advertising Information and Program Scheduling Information
Grp./Div. : 2743
Examiner : To be assigned
Docket No. : 39674/WWM/I148

**LETTER IN RESPONSE TO NOTICE TO
FILE MISSING PARTS OF APPLICATION**

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

P.O. Box 7068
Pasadena, CA 91109-7068
September 1, 2000

Commissioner:

In response to the Notice to File Missing Parts of Application dated **August 8, 2000**, enclosed are:

1. Copy of Notice to File Missing Parts of Application.
2. Missing Parts surcharge of **\$130.00**.
3. Executed Declaration and Power of Attorney.

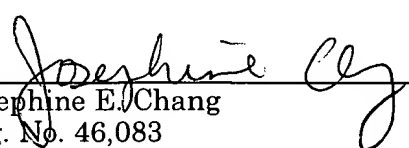
This application is owned by E Guide, Inc., pursuant to an Assignment recorded at Reel 010788, Frame 0797.

The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **pendency** of this application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A copy of this letter is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By


Josephine E. Chang
Reg. No. 46,083
626/795-9900

JEC/daa
Enclosures
DAA PAS269595.1-* 8/31/00 10:41 AM

Receipt



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

Applicant : Thomas E. Ward III, et al.
Application No. : 09/595,216
Filed : June 16, 2000
Title : SYSTEMS AND METHOD FOR DISPLAYING AND RECORDING
CONTROL INTERFACE WITH TELEVISION PROGRAMS VIDEO
ADVERTISING INFORMATION AND PROGRAM SCHEDULING
INFORMATION

Grp./Div. : 2743
Examiner : To be assigned

Docket No. : 39674/JEC/I148

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TECH CENTER 2700

LETTER TO CORRECT FILING RECEIPT

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

P.O. Box 7068
Pasadena, CA 91109-7068
September 1, 2000

Commissioner:

When proofing the Filing Receipt for the above-identified application, we located the following error:

In the Continuing Data, please delete "and a CIP of" and replace with -- and claims benefit of --.

Please forward a Corrected Filing Receipt to the undersigned.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

I HEREBY CERTIFY THAT THIS CORRESPONDENCE
IS BEING DEPOSITED WITH THE U.S. POSTAL
SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE
ADDRESSED TO: ASSISTANT COMMISSIONER FOR
PATENT, WASHINGTON, D.C. 20231 ON
DATE 9/1/00 SIGNATURE [Signature]

By [Signature]
Josephine E. Chang
Reg. No. 46,083
(626) 795-9900

JEC/daa
Enclosure: Copy of Filing Receipt

RECEIVED

① JEC ② WVN

AUG 17 2000

FILING RECEIPT

Christie Parker & Hale, LLP



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

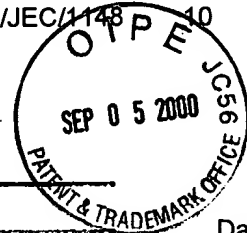


OC000000005305325

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/595,216	06/16/2000	2743	798	39674/JEC/1148	10	26	2

Christie Parker & Hale LLP
P O Box 7068
Pasadena, CA 91109-7068

I148:
39674
CASE # ACTION



REMINDED _____ DATE _____
DEADLINE _____

Date Mailed: 08/08/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Thomas E. Ward III, Weston, MA ;
Kenneth Hancock, Nashua, NH ;
Douglas B. Macrae, Weston, MA ;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 09/120,488 07/21/1998
WHICH CLAIMS BENEFIT OF 60/055,237 08/12/1997
AND CLAIMS BENEFIT OF 60/053,330 07/21/1997
AND CLAIMS BENEFIT OF 60/055,761 08/14/1997
AND CLAIMS BENEFIT OF 60/061,119 10/06/1997
AND CLAIMS BENEFIT OF 60/068,375 12/22/1997
AND CLAIMS BENEFIT OF 60/071,811 01/20/1998
AND CLAIMS BENEFIT OF 60/071,812 01/20/1998
AND CLAIMS BENEFIT OF 60/071,882 01/20/1998
AND CLAIMS BENEFIT OF 60/034,784 12/19/1996
~~AND A CIP OF PCT/US97/23852 12/19/1997~~
AND CLAIMS BENEFIT OF

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SEP 18 2000
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Foreign Applications

If Required, Foreign Filing License Granted 08/08/2000

Title

Systems and methods for displaying and recording control interface with television programs, video, advertising information and program scheduling information

Preliminary Class

348



Data entry by : ERRY, RENUKA

Team : OIPE

Date: 08/08/2000



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**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."



UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

Bib Data Sheet

SERIAL NUMBER 09/595,216	FILING DATE 06/16/2000 RULE -	CLASS 348	GROUP ART UNIT 2743	ATTORNEY DOCKET NO. 39674/JEC/1148
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APPLICANTS

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Kenneth Hancock, Nashua, NH ;
Douglas B. Macrae, Weston, MA ;

** CONTINUING DATA *****

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WHICH CLAIMS BENEFIT OF 60/055,237 08/12/1997
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AND CLAIMS BENEFIT OF 60/034,784 12/19/1996
AND A CIP OF PCT/US97/23852 12/19/1997

** FOREIGN APPLICATIONS *****

IF REQUIRED, FOREIGN FILING LICENSE
GRANTED ** 08/08/2000

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY MA	SHEETS DRAWING 10	TOTAL CLAIMS 26	INDEPENDENT CLAIMS 2
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials		

ADDRESS

Christie Parker & Hale LLP
P O Box 7068
Pasadena ,CA 91109-7068

TITLE

Systems and methods for displaying and recording control interface with television programs, video,
advertising information and program scheduling information

FILING FEE RECEIVED 928	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees (Filing)
		<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)
		<input type="checkbox"/> 1.18 Fees (Issue)
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit